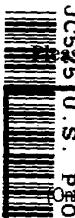


01/17/02

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<b>U.S. PATENT APPLICATION TRANSMITTAL</b> <small>Only for new nonprovisional application under 37 C.F.R. § 1.53(b))</small>		Attorney Docket No.	IN-5421
		First Named Inventor	Valerie L. CRUMLEY, et al
		Filing Date	Thursday, January 17, 2002
		Title	BASECOAT COATING COMPOSITION HAVING LOW VOLATILE ORGANIC CONTENT AND COMPOSITE COATING PREPARED THEREFROM
		Express Mail Certificate	EH799045256US

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents</small>		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application, Washington, DC 20231	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 35]            - (preferred arrangement set forth below)            - Descriptive title of the invention            - Cross References to Related Application            - Statement Regarding Fed sponsored R &amp; D            - Reference to Microfiche Appendix            - Background of the invention            - Background of the invention            - Background of the invention            - Brief Summary of the invention            - Brief Description of the Drawings (if filed)            - Detailed Description            - Claim(s)            - Abstract of the Disclosure</p>		<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on:            i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or            ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statements verifying identify of above copies</p>	
<b>ACCOMPANYING APPLICATION PARTS</b>			
<p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input checked="" type="checkbox"/> 37 CFR§3.73(b) Statement <input type="checkbox"/> Power Of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) / PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> other: .....</p>			
<p>4. <input type="checkbox"/> Drawing(s) (35 U.S.C.113) [Total Sheets 1]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Sheets 04]            a. <input checked="" type="checkbox"/> Newly executed (original or copy)            b. <input type="checkbox"/> Copy from a prior application (37 CFR § 1.63(d))            (for continuation/divisional with Box 17 completed)            i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b>            Signed statement attached deleting</p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>			

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment;

Continuation  Divisional  Continuation-in-part (CIP) of prior application No. 0 filed on .....

Prior application information: Examiner Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	 <b>26922</b> PATENT-TRADEMARK OFFICE	<input type="checkbox"/> Correspondence address below
Country	USA	Telephone (248) 948-2021
Name (print Type)	Anne Gerry Sabourin	Registration No. (Attorney Agent) 33,772
Signature		

Date January 17, 2002

<b>FEE TRANSMITTAL</b> Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 2001	Attorney Docket No.	IN-5421
	First Named Inventor	Valerie L. CRUMLEY et al.
	Filing Date	Thursday, January 17, 2002
	Title	BASECOAT COATING COMPOSITION HAVING LOW VOLATILE ORGANIC CONTENT AND COMPOSITE COATING PREPARED THEREFROM
	Express Mail Certificate	EH799045256US

**TOTAL AMOUNT OF PAYMENT** \$924.00

**METHOD OF PAYMENT**

1.  The Commissioner is hereby authorized to change indicated fees and credit any over payments to:

Deposit Account Number 23-3425

Deposit Account Name **BASF Corporation**

Change Any Additional Fee  Charge the Issue Fee Set in 37 CFR § Required Under 37 CFR §§ 1.16 and 1.17 1.18 at the Mailing of the Notice of Allowance

2.  Payment Enclosed:  
 Check  Money Order  Other

**FEES CALCULATION**

**1. BASIC FILING FEE**

Large Fee Code Entity Fee (\$)

101	740	Utility filing fee	\$740.00
106	330	Design filing fee	
107	540	Plant filing fee	
108	790	Reissue filing fee	
114	160	Provisional filing fee	
		SUBTOTAL	\$740.00
		(2)	

**2. EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims	30 -20** = 08	x 18	\$144.00
Independent			
Claims	01 - 3** =	x 84	
Multiple			
Dependent		280	

\*\*Or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	
103	18	Claims in excess of 20
102	80	Independent claims in excess of 3
104	270	Multiple dependent claims, if not paid
109	82	**Reissue independent claims over original patent
110	22	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$144.00)

**FEES CALCULATION**

**3. ADDITION FEES**

Large Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	Surcharge -late filing fee or oath	
127	50	provisional filing or cover sheet	
139	130	Non-English specification	
147	2,520	For filing request for examination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	920	Extension for reply within third month	
118	1,440	Extension for reply within fourth month	
128	1,960	Extension for reply within fifth month	
119	320	Notice of Appeal	
120	320	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive-unavoidable	
141	1,280	Petition to revive-unintentional	
142	1,280	Utility issue fee (or reissue)	
143	450	Design issue fee	
144	670	Plant issue fee	
122	130	Petition to the Commissioner	
123	50	Petition related to provisional application	
126	240	Submission of information	
581	40	Disclosure Statement	
		Recording each patent	
		Assignment per property (time number of properties)	\$40.
146	790	Filing a submission after final rejection	
149	790	For each additional invention to be examined (37 CFR 1.129(b)) (specify)	
Other	fee	*Reduced By Basic Fee Paid Filing	\$40.

SUBTOTAL(3) \$40.

**18. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label  Correspondence address below



**26922**

PATENT-TRADEMARK OFFICE

Country	USA	Telephone	(248) 948-2021	Fax	(248) 948-2093
Name (print Type)	Anne Gerry Sabourin		Registration No. (Attorney Agent)	33,772	
Signature				Date	January 17, 2002

**PATENT**

(Practitioner's Docket No. IN-5421)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Valerie L. CRUMLEY, Patricia G.  
O'CALLAGHAN and Timothy P.  
KANDOW

US Serial No. Herewith

Group Art Unit: Not Assigned

Filed: Thursday, January 17, 2002

Examiner: Not Assigned

For: BASECOAT COATING COMPOSITION HAVING LOW VOLATILE ORGANIC  
CONTENT AND COMPOSITE COATING PREPARED THEREFROM

**Commissioner of Patents**  
**Washington, D.C. 20231**

**EXPRESS MAIL CERTIFICATE**

"Express Mail" label number

**EH799045256US**

Date of Deposit

**January 17, 2002**

I hereby certify that the following attached paper or fee



Combined Declaration and Power of Attorney on

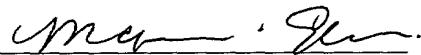
**04**

pages

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Marjorie Ellis

(Typed or printed name of person mailing paper or fee)



Signature of person mailing paper or fee)

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complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

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PATENT

(Practitioner's Docket No. IN-5421)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Valerie L. CRUMLEY, Patricia G.  
O'CALLAGHAN and Timothy P.  
KANDOW

US Serial No. Herewith

Group Art Unit: Not Assigned

Filed: Thursday, January 17, 2002

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CONTENT AND COMPOSITE COATING PREPARED THEREFROM

Commissioner of Patents  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

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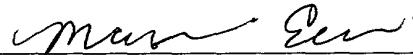
Patent Application Data Sheet

01 pages

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PATENT

(Practitioner's Docket No. IN-5421)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Valerie L. CRUMLEY, Patricia G.  
O'CALLAGHAN and Timothy P.  
KANDOW

US Serial No. Herewith

Group Art Unit: Not Assigned

Filed: Thursday, January 17, 2002

Examiner: Not Assigned

For: BASECOAT COATING COMPOSITION HAVING LOW VOLATILE ORGANIC  
CONTENT AND COMPOSITE COATING PREPARED THEREFROM

Patent\*: Issue Date

Reexamination No.: Issue Date

Reissue: Issue Date

\*NOTE: Insert name(s) of inventor(s) and title for patent.

Assistant Commissioner for Patents  
Washington, D.C. 20231

STATEMENT UNDER 37 C.F.R. § 3.73(b)-  
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*  
(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

37 C.F.R. § 1.8(a)

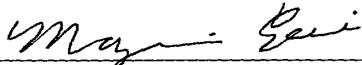
with sufficient postage as first class mail.

37 C.F.R. § 1.10\*

as "Express Mail Post Office to Addressee"  
Mailing Label No: E H799045256US (mandatory)

TRANSMISSION

transmitted by facsimile to the Patent and Trademark Office.



Signature

Marjorie Ellis

(type or print name of person certifying)

Date: January 17, 2002

1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

#### IDENTIFICATION OF ASSIGNEE

BASF CORPORATION

2. \_\_\_\_\_  
Name of assignee  
CORPORATION

Type of assignee, e.g., corporation, partnership, university, government agency, etc.

#### PERSON(s) AUTHORIZED TO SIGN

3. Anne Gerry Sabourin, 33,772; Mary E. Golota, 36,814; Michael F. Morgan, 42,906  
(type name of person authorized to sign on behalf of assignee)  
Managing Counsel; Senior Attorney; Attorney

Title of person authorized to sign

(complete the following, if applicable)

I, the person signing below, state that I am empowered to sign this statement on behalf of  
'the assignee.'

#### BASIS OF ASSIGNEE'S INTEREST

Ownership by the assignee is established as follows:

A.

1.  An assignment from the inventor(s) of the matter identified above, which was recorded in the PTO at

Reel \_\_\_\_\_, Frame \_\_\_\_\_.

2.  An assignment (document) separately being submitted for recordal herewith.

#### AND/OR

B.

1.  A chain of title from the inventor(s) to the current assignee as shown below:

1. From: \_\_\_\_\_

Name of inventor(s)

To: \_\_\_\_\_

Recorded in PTO:

Reel \_\_\_\_\_ Frame \_\_\_\_\_

(Statement under 37 C.F.R. § 3.73(b) - Establishing Right of Assignee to Take Action [16-16]-page 2)

(check item below, and add details, if applicable)

Additional documents in the chain of title are listed in the attached Supplemental Sheet.

**COPIES OF DOCUMENTS IN CHAIN OF TITLE**

(complete this item, if copies are being sent)

Copies of the assignment(s) or other document(s) in the chain of title are attached as follows:

A

1

2

B

1.

2

3.

Reg. No: 33,772

Anne G. Sabourin  
SIGNATURE OF ATTORNEY

Anne Gerry Sabourin

Type or print name of attorney

Tel. No.: ( 248 ) 948-2021

BASF Corporation  
26701 Telegraph Road

P.O. Address

Southfield, Michigan 48034-2442

Customer No. 26922

**PATENT**

(Practitioner's Docket No. IN-5421)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Valerie L. CRUMLEY, Patricia G.  
O'CALLAGHAN and Timothy P.  
KANDOW

US Serial No. Herewith

Group Art Unit: Not Assigned

Filed: Thursday, January 17, 2002

Examiner: Not Assigned

For: BASECOAT COATING COMPOSITION HAVING LOW VOLATILE ORGANIC  
CONTENT AND COMPOSITE COATING PREPARED THEREFROM**Commissioner of Patents  
Washington, D.C. 20231****EXPRESS MAIL CERTIFICATE**"Express Mail" label number EH799045256US Date of Deposit January 17, 2002

I hereby certify that the following attached paper or fee

 Application  
Specification on 

28
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 pages; claims on 

07
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 pages;  
the abstract on 

01
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 page(s); drawings on 

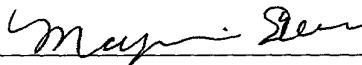
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Marjorie Ellis

(Typed or printed name of person mailing paper or fee)

  
Signature of person mailing paper or fee)

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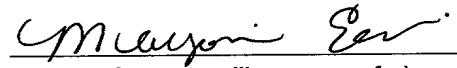
<input checked="" type="checkbox"/>
<input checked="" type="checkbox"/>
<input checked="" type="checkbox"/>

Information Disclosure Statement  
Form PTO-1449  
Cited Reference(s)

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Marjorie Ellis

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